



10007.000110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert F. Terry  Serial No.: 09/827,451 Filed: April 6, 2001  Title: SYSTEM AND METHOD FOR REAL TIME MONITORING AND CONTROL OF A COMPUTER MACHINE ENVIRONMENT AND CONFIGURATION PROFILE	Confirmation No: 6181  Examiner: Gregory Allan Morse Art Unit: 2134  Atty. Docket No.: 10007.000110
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Technology Center 2100

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**INFORMATION DISCLOSURE STATEMENT****Under 37 C.F.R. §1.56 and §§1.97-98**

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing reference(s) which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an

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application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

- ☒ 37 CFR §1.97(b).

- ☐ 37 CFR §1.97(c). If so, then either:

- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR

- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.

OR

- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
- ☐ 37 CFR § 1.97(d). If so, then both:
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than

three months prior to the filing of this Statement.


AND

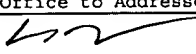
☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,  
Robert F. Terry

Dated: January 16, 2004

By:   
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2134 #19 SP

PTO/SB/21 (08-03)

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/827,451	<b>RECEIVED</b>  JAN 22 2004  Technology Center 2100
	Filing Date	April 6, 2001	
	First Named Inventor	Robert F. Terry	
	Art Unit	2134	
	Examiner Name	Gregory Allan Morse	
Total Number of Pages in This Submission	6*	Attorney Docket Number	10007.000110

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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	*Does not include pages for cited reference	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Arnold M. de Guzman Reg. No. 39, 955 De Guzman & Associates, PC
Signature	
Date	01/16/2004

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Typed or printed name	Arnold M. de Guzman		
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